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TITLE OF INVENTION: NOISE REDUCTION PANEL ARRANGEMENT AND METHOD OF CALIBRATING SUCH A PANEL ARRANGEMENT

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Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
 2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
 3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
 4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
 5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau. (see attached copy of PCT/IB/308)
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
 6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
 7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
 8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
 9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
 10. ☐ A translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Item 11. to 16. below concern document(s) or information included:
11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
 12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
 13. ☒ A **FIRST** preliminary amendment.
 14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
 15. ☐ A substitute specification.
 16. ☐ A change of power of attorney and/or address letter.
 16. ☒ Other items or information:

International Search Report
PCT/IB/308
PCT/IPEA/409
Abstract of the Disclosure on a Separate Sheet
Application Data Sheet

09/830966

U.S. APPLICATION NO. 09/830966

INTERNATIONAL APPLICATION NO.
PCT/NL99/00664ATTORNEY'S DOCKET NO.
BO 4216217. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):**

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$ 1,000.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$ 860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$ 710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$ 690.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$ 100.00

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Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

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Total claims	6 - 20 =	0	X \$18.00
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09/830966

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PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Arthur Perry BERKHOFF et al.

Serial No. (unknown)

Filed herewith

NOISE REDUCTION PANEL ARRANGEMENT
AND METHOD OF CALIBRATING SUCH A
PANEL ARRANGEMENT

PRELIMINARY AMENDMENT

Commissioner for Patents

Washington, D.C. 20231

Sir:

Prior to the first Official Action and calculation of the filing fee, please substitute pages 1-3 of the specification as originally filed, with pages 1, 2, 2a, 3 as filed in the Article 34 amendment of November 8, 2000. The substitute pages are marked "AMENDED SHEET" and are attached hereto.

Please substitute Claims 1-8 as originally filed, with Claims 1-6 as filed in the Article 34 amendment of November 8, 2000. The pages containing Claims 1-6 are marked "AMENDED SHEET" and are attached hereto. Following the insertion of Claims 1-6, please amend these claims as follows:

IN THE CLAIMS:

Amend claim 3 as follows:

--3. (Amended) Arrangement according to claim 1, wherein the number of sensors (2(m)) equals the number of actuators (3(n)) and equals the number of controllers (5a(i),

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5b(i)), each controller (5a(i), 5b(i)) receiving one of the plurality of sensor signals (p(m)) as input signal and controlling one of the plurality of actuators (3(n)).

Amend claim 4 as follows:

4. (Amended) Arrangement to claim 1, wherein a sound reflective wall (8) is present such that the second surface is between the first surface and the wall (8).

Amend claim 5 as follows:

5. (Amended) Arrangement according to claim 1, wherein one or more detection sensors (7(r)) are arranged for sensing said primary source (4) and providing one or more detection sensor signals ($V_{\text{det}}(i)$) to said plurality of controllers (5a(i), 5b(i)).

Amend claim 6 as follows:

6. (Amended) Arrangement according to claim 1, wherein a supervising controller (6) is provided to receive signals in dependence on said sensor signals (p(m)) and to monitor long-term behaviour of the arrangement by modifying control parameters of the controllers (5a(i), 5b(i)) in order to ensure overall stability of the arrangement based on a predetermined error criterion as to the sensor signals (p(m)).

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R E M A R K S

The above changes in the specification and claims merely place this national phase application in the same condition as it was during Chapter II of the international phase, with the multiple dependencies being removed. Following entry of this amendment by substitution of the pages, only claims 1-6 remain pending in this application.

Attached hereto is a marked-up version of the changes made to the claims by the current amendment. The attached page is captioned "VERSION WITH MARKINGS TO SHOW CHANGES MADE".

Respectfully submitted,

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May 3, 2001

VERSION WITH MARKINGS TO SHOW CHANGES MADE

The claims have been amended as follows:

3. (Amended) Arrangement according to claim 1 ~~or~~ 2, wherein the number of sensors (2(m)) equals the number of actuators (3(n)) and equals the number of controllers (5a(i), 5b(i)), each controller (5a(i), 5b(i)) receiving one of the plurality of sensor signals (p(m)) as input signal and controlling one of the plurality of actuators (3(n)).

4. (Amended) Arrangement to ~~any of the preceding~~ claims 1, wherein a sound reflective wall (8) is present such that the second surface is between the first surface and the wall (8).

5. (Amended) Arrangement according to ~~any of the preceding~~ claims 1, wherein one or more detection sensors (7(r)) are arranged for sensing said primary source (4) and providing one or more detection sensor signals ($V_{\text{dct}}(i)$) to said plurality of controllers (5a(i), 5b(i)).

6. (Amended) Arrangement according to ~~any of the preceding~~ claims 1, wherein a supervising controller (6) is provided to receive signals in dependence on said sensor signals (p(m)) and to monitor long-term behaviour of the arrangement by modifying control parameters of the controllers (5a(i), 5b(i)) in order to ensure overall stability of the arrangement based on a predetermined error criterion as to the sensor signals (p(m)).

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ART 34 AMDT

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(86)

Noise reduction panel arrangement and method of calibrating such a panel arrangement

The present invention relates to a noise reduction arrangement comprising:

- a plurality of actuators for generating secondary noise to reduce primary noise generated by at least one primary source;
- a plurality of sensors for sensing the total amount of noise resulting from the primary noise after being reduced by the secondary noise and for generating a plurality of sensor signals;
- control means for controlling the actuators based on the sensor signals,

the distance between the plurality of actuators and the plurality of sensors being first and second surfaces is selected to have an optimised reduction in power RP of the total amount of noise relative to the primary noise within a predetermined frequency band.

Such a noise reduction arrangement is known from J. Guo, e.a., "Actively created quiet zones by multiple control sources in free space", J. Acoust. Soc. Am. 101 (3), March 1997, pp. 1492-1501. This document discloses an arrangement with a series of secondary sources on a first line and a series of error sensors on a second line, the first and second lines being parallel. The primary concern of this document is to create large areas of quiet zones. The document observes that such a requirement can be satisfied if the error sensors are not in the near field of the secondary sources. According to the document, the distance between the second line with the error sensors and the first line with the secondary sources should be greater than or comparable to the mutual distances between the secondary sources. Guo e.a. only present a model for this two line arrangement. Moreover, in their model, all secondary sources are controlled by the output signals of all error sensors. Implementing such a control arrangement results in a complex controller with many connections and which turns out to be rather slow in many applications.

S.J. Elliott et al., Interaction Between Multiple Feedforward Active Control Systems, IEEE Transactions on Speech and Audio Processing, Vol. 2, No. 4, 1994, pp. 521-530 [1] describe a noise reduction system having a panel of actuators arranged in a first plane and a plurality of error sensors in a second plane. The first and second planes are parallel to one another. Elliott et al. present a mathematical model of a decentralised adaptive feedforward control system. They also present results of some physical examples in which there are two actuators and two error sensors. In these examples, Elliott et al. introduce the mutual distances between the error sensors and the actuators as important parameters to derive conditions as to

when such a system is stable. In the physical examples given, the distance between the two planes is about 0.3 times the distance between the two actuators. Elliott et al. do not disclose the presence of an optimum distance between the two planes as a function of the mutual distance between actuators.

5 X. Qui, e.a., A Comparison of Near-field Acoustic Error Sensing Strategies for the Active Control of Harmonic Free Field Sound Radiation, Journal of Sound and Vibration, 1998, 215(1), pp. 81-103 [2], disclose the results of a study to find the best location of an error sensor relative to a primary noise source. However, this study is limited to a harmonic sound field radiated by a monopole primary source and by a dipole-like pair of primary sources. In
10 both cases the actuator is a monopole radiating at the same frequency as the primary source. No plurality of actuators and plurality of error sensors arranged in respective planes are disclosed.

An active high transmission loss panel is disclosed in WO-A-94/05005. However, in this patent document the actuators and sensors are all located in the same plane.

15 The present invention is directed to a noise reduction arrangement having a plurality of actuators in a first surface and a plurality of error sensors in a second surface in which the reduction of noise is optimised as a function of the distance between the surfaces and in which the control means are simplified. The surfaces may be planes, like in the arrangement of Elliott et al. [1], but they may also deviate from planes. They may, e.g., be slightly curved.

20 Thus, the noise reduction arrangement as defined above is characterised in that

- the plurality of actuators are located in a first two dimensional array in a first surface;
- the plurality of sensors are located in a second two dimensional array in a second surface arranged substantially parallel to the first surface;
- the plurality of actuators are sub-divided into a plurality of sub-sets of actuators;
- 25 • the control means comprise a plurality of controllers, each controller being arranged to receive sensor signals of a sub-set of said plurality of sensors and arranged to control one single sub-set of actuators; and
- said first and second surfaces are arranged at such a mutual distance that the reduction of power RP is within the following range:

30
$$0.9 \times RP_{\max} \leq RP \leq RP_{\max}$$

in which RP_{\max} is maximum obtainable reduction in power of the total amount of noise relative to the primary noise at an optimum distance between said first and second surfaces as established by testing, where both RP and RP_{\max} are expressed in decibel,

2a

wherein the plurality of actuators are arranged in rows and columns, mutual distances between adjacent columns and mutual distances between adjacent rows being equal to a predetermined actuator distance d_x , the plurality of sensors being arranged in the same way as the plurality of actuators, the distance d between the first and the second surfaces

5 meeting the following condition:

$$0.5 \times d_x \leq d \leq d_x$$

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relative to the primary noise, where both RP and RP_{max} are expressed in decibel.

The present invention is based on the insight that a maximum reduction shows up in the curve representing the reduction of the total amount of sound power relative to the primary noise as a function of the distance between the surfaces and that it is not necessary to have each actuator controlled by the output signals of each of the sensors. The actual optimum distance where the maximum occurs depends on several parameters, like the number of actuators, the number of sensors, the ratio between these two numbers, the actual arrangement of the actuators and the actual arrangement of the sensors. The optimum distance can be established by testing while increasing the distance between the surfaces from 0, while adjusting a predetermined control parameter (β) to maintain stability.

Preferably, each controller is arranged to receive sensor signals of only those sensors which are within a predetermined range from said controller.

In one of the arrangements, the number of sensors equals the number of actuators and equals the number of controllers, each controller receiving one of the plurality of sensor signals as input signal and controlling one of the plurality of the actuators. When, in such an arrangement, the plurality of actuators are arranged in rows and columns, mutual distances between adjacent columns and mutual distances between adjacent rows are equal to a predetermined actuator distance d_x and the plurality of sensors are arranged in the same way as the plurality of actuators, the distance d between the first and the second surfaces preferably meets the following condition:

$$0.5 \times d_x \leq d \leq d_x.$$

In one embodiment, the arrangement includes a supervising controller for monitoring long-term behaviour of the arrangement and for modifying control parameters of the controllers in order to ensure overall stability of the arrangement.

Hereinafter, the invention will be explained with reference to some drawings. The drawings and explanation are only given by way of example and are not intended to limit the scope of the present invention.

Figure 1a shows a front view of a plate provided with 48 actuators and 221 sensors in front of the plate;

Figure 1b shows a schematic cross section view of the arrangement according to figure 1a along line IB-IB in figure 1a;

Figure 1c shows a schematic electronic black box circuitry for controlling the

actuators based on the sensor signals generated by the sensors;

Figure 2 shows sound power curves radiated from a plate without control, with global control and local control, respectively;

Figure 3 shows condition numbers for the curves shown in figure 2;

5 Figure 4 shows sound power curves as a function of frequency for an arrangement with 48 actuators and 48 sensors, the distance d between the actuator plane and the sensor plane being a parameter;

Figure 5 shows curves of broadband reduction in sound power for the arrangement of figure 4 taking into account all frequencies $f < c/2d_x$, with c the speed of sound
10 in air and d_x the distance between adjacent actuators;

Figure 6 shows sound power curves as a function of frequency for an arrangement with 48 actuators and 221 sensors, the distance d between the actuator plane and the sensor plane being a parameter;

Figure 7 shows curves of broadband reduction in sound power for the arrangement of figure 6, taking into account all frequencies $f < c/2d_x$;
15

Figure 8 shows sound power curves as a function of frequency for a global control arrangement with 48 actuators and 221 sensors, the distance d between the actuator plane and the sensor plane being a parameter;

Figure 9 shows broad band reduction of sound power according to figure 8,
20 taking into account all frequencies $f < c/2d_x$;

Figure 10 shows sound power curves as a function of frequency for an arrangement in which the sound produced is reflected by a further plate parallel to the plate supporting the actuators, the reflection coefficient R being a parameter;

Figure 11 shows condition numbers for some of the curves shown in figure 8.

25 The description hereinafter presents simulation results of multiple local control systems intended for the active minimization of sound transmitted through a plate. The systems are analyzed for harmonic disturbances with respect to stability, convergence, reduction of transmitted sound power, the distance between actuators and sensors, and sensitivity for reverberating environments. The local control systems are compared with
30 global control systems. Global control systems are those systems in which each of the actuators are controlled in dependence on each of the sensor output signals, whereas local control systems are those systems in which one or more of the actuators are controlled by one or more but not all of the sensor output signals.

Figure 1a shows a baffled plate 1, which supports a plurality of actuators 3(n), $n = 1, \dots, N$. In figure 1a 48 actuators 3(n) are shown. However, if required any other number of actuators 3(n) may be applied.

Supported by suitable supporting means (not shown), a plurality of sensors 2(m), $m = 1, \dots, M$, is arranged in front of the plate 1. In figure 1a, 221 sensors 2(m) are shown. Figure 1a shows a local control system: each actuator 3(n) is associated with 9 sensors 2(m), adjacent actuators 3(n) sharing three of the sensors 2(m). Of course, any other number than 221 sensors 2(m) may be applied and the actuators may be controlled by other numbers of sensors.

In figure 1a, the actuators 3(n) and the sensors 2(m) are regularly arranged in columns and rows at equal distances. However, this is not necessary.

Figure 1b shows a cross section through the arrangement according to figure 1a along line IB-IB. The same reference numbers refer to the same elements.

The acoustic radiation of primary noise source 4 causes a pressure field p_{inc} incident on plate 1.

The mutual distance between two adjacent actuators is d_x . The mutual distance between two adjacent sensors 2(m) is d_{sens} . The distance between the actuator plane and the sensor plane is d .

Also shown is a reflective wall 8 which might be present in some embodiments, as will be explained below.

The actuators 3(n) are shown to be loudspeakers producing secondary noise p_s in order to reduce the primary noise p_p . The total amount of resulting noise is measured by the sensors 2(m) which, preferably, are microphones or other pressure-sensitive devices.

Figure 1c shows a schematic electric diagram of the arrangement used in the invention. The same reference numbers refer to the same components as in figures 1a and 1b.

The sensors 2(m) produce sensor signals $p(m)$ which are transferred to one or more controllers 5b(i), $i = 1, 2, \dots, I$, e.g., in the way shown in figure 1c.

Figure 1c shows four controllers 5b(i), but there may be any other desired number. They provide one or more output signals W_{ip} which are transmitted to controllers 5a(i) of a further set of controllers which directly control the actuators 3(n). The outputs W_{ip} of the controllers 5b(i) are also input to a supervising controller 6.

In some embodiments use of one or more detection sensors 7(r), $r = 1, \dots, R$, may

be preferred. These detection sensors provide time-advanced information of the primary noise \mathbf{p}_p to a distribution network 10. The distribution network 10 produces detection signals $v_{\text{det}}(i)$ for the controllers 5a(i). Both the distribution network 10 and the controllers 5a(i) and 5b(i) may be controlled by the supervising controller 6.

- 5 Each of the controllers 5a(i) controls one or more of the actuators 3(n) by means of control signals \mathbf{u}_i .

The supervising controller 6 may be used for monitoring long-term behaviour of the system and for modifying control parameters of the distribution network 10 and the controllers 5a(i), 5b(i) in order to ensure overall stability of the system.

- 10 It is noted that distribution network 10, controllers 5a(i), 5b(i), and supervising controller 6 are shown to be separate units, however, in reality they may be implemented by a single control unit performing all required functions. Controllers 5a(i), 5b(i) and 6 are preferably software driven computer units. However, optionally they may be implemented using digital circuits. Moreover, they need not be physically separated. They
15 may be implemented as different functional sections of one single processor. On the other hand, some of the functionality of their functions may be implemented on remote processors if required. For the case of simplicity, in the description and the claims reference will only be made to processors 5a(i), 5b(i), and 6.

- Although figure 1c shows a situation in which each controller 5a(i) controls one
20 actuator 3(n), in the theoretical analysis given below, it will be assumed that each controller 5a(i) controls K actuators 3(n).

Analysis

- It is assumed that each of the controllers 5a(i), 5b(i) tries to minimize a cost function based on sensor signals local to that controller. The scalar cost functions J_i for
25 the I controllers 5a(i) are written as

$$J_i = (\mathbf{W}_i \mathbf{p})^H (\mathbf{W}_i \mathbf{p}) + \mathbf{u}_i^H \boldsymbol{\beta}_i \mathbf{u}_i, \quad i = 1, \dots, I, \quad (1)$$

- in which \mathbf{p} is an $M \times 1$ vector of sensor signals, \mathbf{W}_i is a weighting matrix of dimensions
30 $P \times M$ which provides a selection and weighting of P out of a total of M sensor signals used as error inputs for controller 5a(i); \mathbf{u}_i is a $K \times 1$ -dimensional control signal for node i and $\boldsymbol{\beta}_i$ is a $K \times K$ dimensional effort weighting matrix. The sensor signals \mathbf{p} result from the superposition of primary field contributions \mathbf{p}_p and the contributions \mathbf{p}_s due to N

actuators. The latter contributions are given by \mathbf{Gu} , where \mathbf{u} is an $N \times 1$ vector denoting the control signals that drive the actuators and \mathbf{G} is an $M \times N$ matrix of transfer functions between control signals and sensor signals. Hence,

$$\mathbf{p} = \mathbf{p}_p + \mathbf{Gu} \quad (2)$$

5

Each controller 5a(i) drives K actuators, so $N = IK$.

Introducing the $M \times N$ matrix

$$\hat{\mathbf{G}} = [\mathbf{F}_1 \mathbf{G}_1, \mathbf{F}_2 \mathbf{G}_2, \mathbf{F}_I \mathbf{G}_I] \quad (3)$$

with $\mathbf{F}_i = \mathbf{W}_i^H \mathbf{W}_i$

10 and \mathbf{G}_i denoting the columns \mathbf{G} corresponding to controllers 5a(i) having dimensions $M \times K$

and the $N \times N$ block-diagonal matrix β defined by

$$\beta = \begin{bmatrix} \beta_1 & 0 & \dots & 0 \\ 0 & \beta_2 & \dots & 0 \\ \dots & \dots & \dots & \dots \\ 0 & 0 & \dots & \beta_I \end{bmatrix} \quad (4)$$

a linear system of N equations in \mathbf{u} can be formulated:

$$15 \quad (\hat{\mathbf{G}}^H \mathbf{G} + \beta) \mathbf{u} = -\hat{\mathbf{G}}^H \mathbf{p}_p \quad (5)$$

The present result explicitly includes the weighting factors for the error sensors. To arrive at the solution for \mathbf{u} an iterative procedure is implemented in the system, such as the procedure described by Elliott et al. [5]. For interpretation of system behaviour the reader is referred to [1].

20 Simulations

In this section simulation results are given for an active control system intended to reduce the noise transmitted through plate 1. The sensors 2(m) are pressure sensors placed in the near-field of the plate 1. In the example, the actuators 3(n) are loudspeakers which are assumed to operate as constant volume velocity (monopole-like) sources. The
25 plate 1 is assumed to be a 1 mm thick aluminium plate of 60 cm x 80 cm, having a modulus 7×10^{10} Pa, Poisson ratio of 0.3, hysteretic damping $\eta = 0.02$, and a density of $2.6 \times 10^3 \text{ kg m}^{-3}$. The plate 1 is assumed to be simply supported and the incident field p_{inc} is a plane wave arriving at a direction α of 60 degrees to the plate normal. The basic configuration consists of $6 \times 8 = 48$ actuators and $13 \times 17 = 221$ sensors, as shown in

Fig. 1a.

As opposed to active global control systems which minimize a global quadratic error criterion, stability is not guaranteed in multiple local systems. Assuming an iterative procedure to solve Eq. [5], the system is stable if the real parts of the eigenvalues λ_n , $n = 1, \dots, N$ of the matrix $\hat{\mathbf{G}}^H \mathbf{G} + \beta$ are positive [1]. The effort weighting matrix is taken to be the diagonal matrix $\beta = \beta \mathbf{I}$. If the system is unstable for $\beta = 0$ the value of β will be set equal to $-\min_n \text{Re}\lambda_n$, which makes the system just stable. Increasing the value of β further would enhance the stability margin and improve the speed of convergence of the iterative procedure, but also increase the residual radiated power. The convergence of some iterative procedures is governed by the ratio of the largest singular value κ_1 to the smallest singular value κ_N [5], i.e. the condition number of the Hessian matrix $\hat{\mathbf{G}}^H \mathbf{G} + \beta$ [6].

Simulation methods

The models describing the vibration of the plate 1 can be found in [7]. The pressure \mathbf{p}_p and \mathbf{p}_s were computed with a weak form of a Fourier-type extrapolation technique in which singularities were evaluated by analytical integration [8]. In principle, the Boundary Element method as described in [9] can also be used but the latter method is less efficient for geometries of this and larger size. Formulas for zero extrapolation distance which were used can be found in [10].

Simulation results

The sound power without control and with control for various configurations are shown in Fig. 2. It was found that reductions could be obtained for frequencies for which both the mutual distance d_{sens} between the sensors and the mutual distance d_x between the actuators were smaller than approximately half of a wavelength. Moreover, the distance d between the sensors 2(m) and the plate 1 turns out to be an important parameter. Larger reductions are obtained if the pressure sensors 2(m) are moved away from the plate 1. This distance d can not be made arbitrarily large because of stability issues. The point of instability is reached at approximately a quarter of a wavelength from the plate if the ratio d/d_x is larger than a certain minimum value. If this ratio is smaller than this value, then the system is stable for all frequencies.

In figure 2 and the other figures, the following notations are used:

- 48 x 48: 48 sensors and 48 actuators (global control);
- 48 x 48, 1 x 1: 48 sensors and 48 actuators, each actuator being depen-

dent on one sensor only;

- 221 x 48: 221 sensors and 48 actuators (global control);
- 221 x 48, 9 x 1: 221 sensors and 48 actuators; each actuator being dependent on 9 sensors.

5 A large distance d might be detrimental for primary signals with short correlation lengths. For that purpose it may be useful to add one or more detection sensors $7(r)$ in the near-field of the plate.

The corresponding condition numbers are shown in Fig. 3. If a positive value of β was used to make the system stable then the condition number is not shown.

10 Influence of d on the reduction

From the previous results it was found that the distance d between actuator plane and the sensor plane has a considerable influence on the achievable reduction of radiated sound power. It was also found that the distance d determines the frequency above which the system has to be stabilized by increasing the value of β . A higher value of β leads to
15 smaller reductions. The distance for instability is reached at approximately a quarter of a wavelength.

Clearly, two contradicting requirements for d have to be satisfied for broadband reductions. This is illustrated in Fig. 4, which shows sound power radiated from plate 1 without control and with local control using a 48×48 , 1×1 system, i.e., using a total of
20 48 sensors and 48 actuators, 1 sensor and 1 actuator for each independent controller, with the distance d between the actuator plane and the sensor plane as parameter. If, at any frequency, the system is unstable a positive value for β is used which makes the system just stable. If the system is stable $\beta = 0$ is used. It can be seen that, for small d , reductions are increased by increasing d , particularly at low frequencies. However, the
25 system has to be stabilized above the frequency where d equals a quarter of a wavelength. This stabilization leads to smaller reductions at high frequencies.

Hence, for broadband applications there might be an optimum value for d if the objective is to minimize the total acoustic power within a wide frequency range. It is assumed that all frequencies are taken into account for which half of the wavelength is
30 larger than the actuator spacing d_x . For the present configuration, this corresponds to all frequencies smaller than $f < c/2.d_x = 1715$ Hz. The latter frequency is indicated by a dashed line in Fig. 4. This frequency is the maximum frequency for which an active control system using a global error criterion leads to significant reductions of radiated

sound power. For the present 1 x 1 system, the sensor spacing is identical to the actuator spacing. The broadband reductions for various values of d normalized to actuator spacing d_x are shown in figure 5. Indeed, it can be seen that there is a maximum in the reduction of broadband radiated sound power, both for constant weighting and for A-weighting. The maximum reduction is obtained for $d_x/2 \leq d \leq d_x$.

Additional factors might influence the optimum for d . In the case of stochastic disturbances and no reference sensor in a feedforward link, the delay between the actuator and the sensor should be small compared to a characteristic correlation length of the disturbance signal. In addition, for smaller d , the condition number κ_1/κ_N of the system is lower, and often, therefore, the convergence of adaptive schemes better. These two considerations can lead to an optimum for d which is somewhat smaller than given by figure 5. Then, for most systems occurring in practice, the optimum for d is in the range $0.1d_x < d < d_x$.

The results for a 221 x 48, 9 x 1 system, having half the distance between the sensors, are shown in Figs. 6 and 7. Figure 6 shows the sound power radiated from a plate in such a system, whereas figure 7 shows the broadband reduction, again for all frequencies $f < c/2.d_x$. It can be seen that the maximum reduction which can be obtained is similar. The optimum value for d , as obtained from figure 7, is also within the range $d_x/2 \leq d \leq d_x$, although the peak in the reduction is wider than in figure 5. In practice therefore, the value of d for the 9 x 1 system will often be chosen somewhat smaller than for the 1 x 1 system.

The results for a global control system are shown in figures 8 and 9. The differences with the preceding local control systems are mainly in the high-frequency range. This leads to larger optimum values for d as well as less pronounced maxima.

Comparing figures 5, 7 and 9 with one another shows that in local control systems (figures 5 and 7) the reduction of power RP of the total amount of noise relative to the primary noise within a predetermined frequency band shows a peak value between $1/2 \leq d/d_x \leq 1$ whereas in global control systems (figure 9) no substantial peak value is present: then, the requirement seems to be $1/2 \leq d/d_x$. Moreover, it follows that the maximum obtainable reduction in all three cases (figures 5, 7, and 9) is similar. Thus, when using local control instead of global control a similar value of reduction is possible provided the distance between the plane of actuators $3(n)$ and the plane of sensors $2(m)$ is selected carefully, i.e., in the area of the peak value of RP , preferably

List of symbols

- \mathbf{G} = $M \times N$ matrix of transfer functions between control signals \mathbf{u} and sensor signals \mathbf{p}
- 5 \mathbf{G}_i = $M \times K$ matrix of transfer functions between control signals \mathbf{u}_i and sensor signals \mathbf{p} .
- J_i = scalar cost function of controller 5(i);
 $i = 1, 2, \dots, I$
- \mathbf{p}_p = primary field contributions
- 10 \mathbf{p} = $M \times 1$ vector denoting the sensor signals $p(1), p(2), \dots, p(m), \dots, p(M)$
- \mathbf{u} = $N \times 1$ vector denoting the control signals $u(1), u(2), \dots, u(n), \dots, u(N)$, that drive the actuators 3(n)
- \mathbf{u}_i = $K \times 1$ vector denoting the control signals $u_i(1), u_i(2), \dots, u_i(k), \dots, u_i(K)$ for node i
- 15 \mathbf{W}_i = weighting matrix of dimensions $P \times M$
- $\mathbf{\beta}_i$ = $K \times K$ dimensional effort weighting matrix
- κ_N = smallest singular value of Hessian matrix $\hat{\mathbf{G}}^H \mathbf{G} + \beta$
- κ_I = largest singular value of Hessian matrix $\hat{\mathbf{G}}^H \mathbf{G} + \beta$

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ART 34

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Claims

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1. Noise reduction arrangement comprising:
- a plurality of actuators (3(n)) for generating secondary noise (p_s) to reduce primary noise (p_p) generated by at least one primary source (4);
 - 5 - a plurality of sensors (2(m)) for sensing the total amount of noise resulting from the primary noise after being reduced by the secondary noise and for generating a plurality of sensor signals ($p(m)$);
 - control means (5a(i), 5b(i)) for controlling the actuators (3(n)) based on the sensor signals ($p(m)$),
- 10 the distance (d) between the plurality of actuators (3(n)) and the plurality of sensors (2(m)) being selected to have an optimised reduction in power RP of the total amount of noise relative to the primary noise within a predetermined frequency band, characterised in that
- the plurality of actuators (3(n)) are located in a first two dimensional array in a first
 - 15 surface;
 - the plurality of sensors (2(m)) are located in a second two dimensional array in a second surface arranged substantially parallel to the first surface;
 - the plurality of actuators (3(n)) are sub-divided into a plurality of sub-sets of actuators (3(n));
 - 20 • the control means (5a(i), 5b(i)) comprise a plurality of controllers (5a(i), 5b(i)), each controller (5a(i), 5b(i)) being arranged to receive sensor signals of a sub-set of said plurality of sensors (2(m)) and arranged to control one single sub-set of actuators (3(n)); and
 - said first and second surfaces are arranged at such a mutual distance that the reduction
 - 25 of power RP is within the following range:

$$0.9 \times RP_{\max} \leq RP \leq RP_{\max}$$

in which RP_{\max} is maximum obtainable reduction in power of the total amount of noise relative to the primary noise at an optimum distance between said first and second surfaces as established by testing, where both RP and RP_{\max} are expressed in decibel, the plurality of actuators being arranged in rows and columns, mutual distances between adjacent columns and mutual distances between adjacent rows being equal to a predetermined actuator distance d_x , the plurality of sensors being arranged in the same way as the plurality of actuators, the distance d between the first and the second

10 11 12 13 14 15 16 17 18 19 20

surfaces meeting the following condition:

$$0.5 \times d_x \leq d \leq d_x$$

- 5 2. Arrangement according to claim 1, wherein each controller (5a(i), 5b(i)) is arranged to receive sensor signals of only those sensors (2(m)) which are within a predetermined range from said controller (5a(i), 5b(i)).
- 10 3. Arrangement according to claim 1 or 2, wherein the number of sensors (2(m)) equals the number of actuators (3(n)) and equals the number of controllers (5a(i), 5b(i)), each controller (5a(i), 5b(i)) receiving one of the plurality of sensor signals (p(m)) as input signal and controlling one of the plurality of actuators (3(n)).
- 15 4. Arrangement to any of the preceding claims wherein a sound reflective wall (8) is present such that the second surface is between the first surface and the wall (8).
- 20 5. Arrangement according to any of the preceding claims wherein one or more detection sensors (7(r)) are arranged for sensing said primary source (4) and providing one or more detection sensor signals ($V_{\text{det}}(i)$) to said plurality of controllers (5a(i), 5b(i)).
- 25 6. Arrangement according to any of the preceding claims wherein a supervising controller (6) is provided to receive signals in dependence on said sensor signals (p(m)) and to monitor long-term behaviour of the arrangement by modifying control parameters of the controllers (5a(i), 5b(i)) in order to ensure overall stability of the arrangement based on a predetermined error criterion as to the sensor signals (p(m)).

Fig 1a

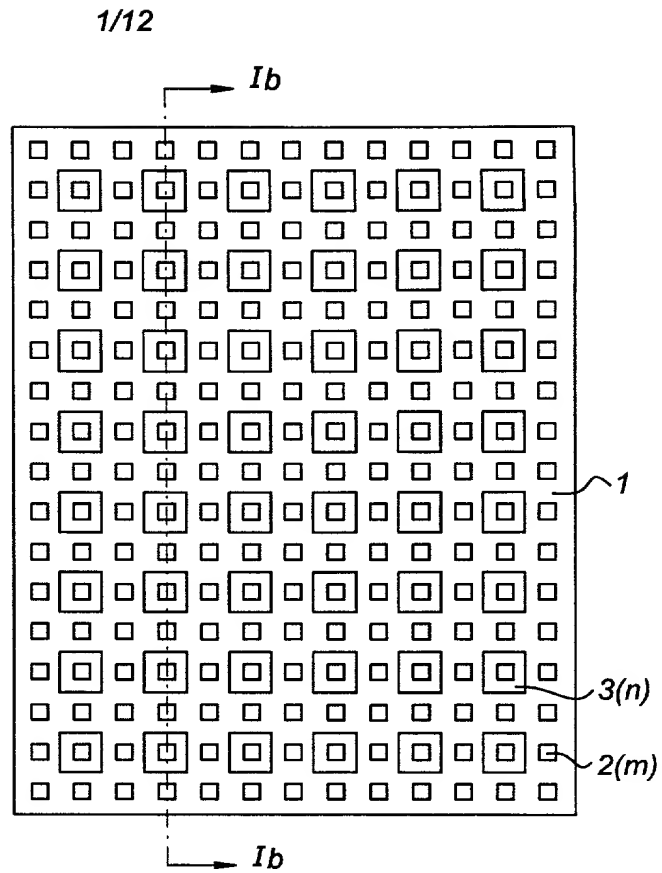


Fig 1b

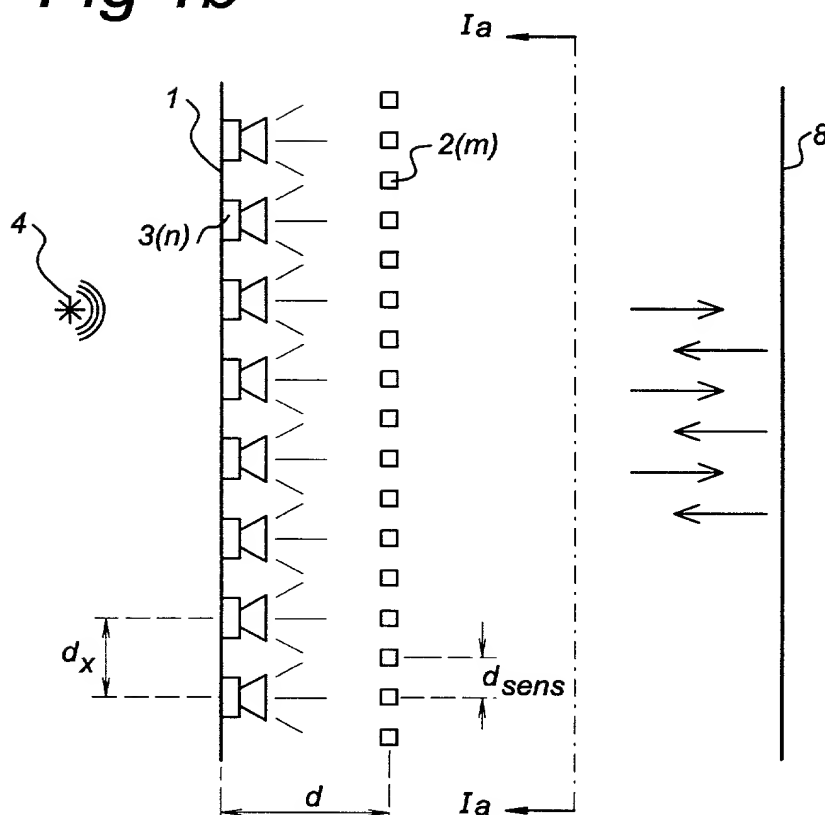


Fig 1c

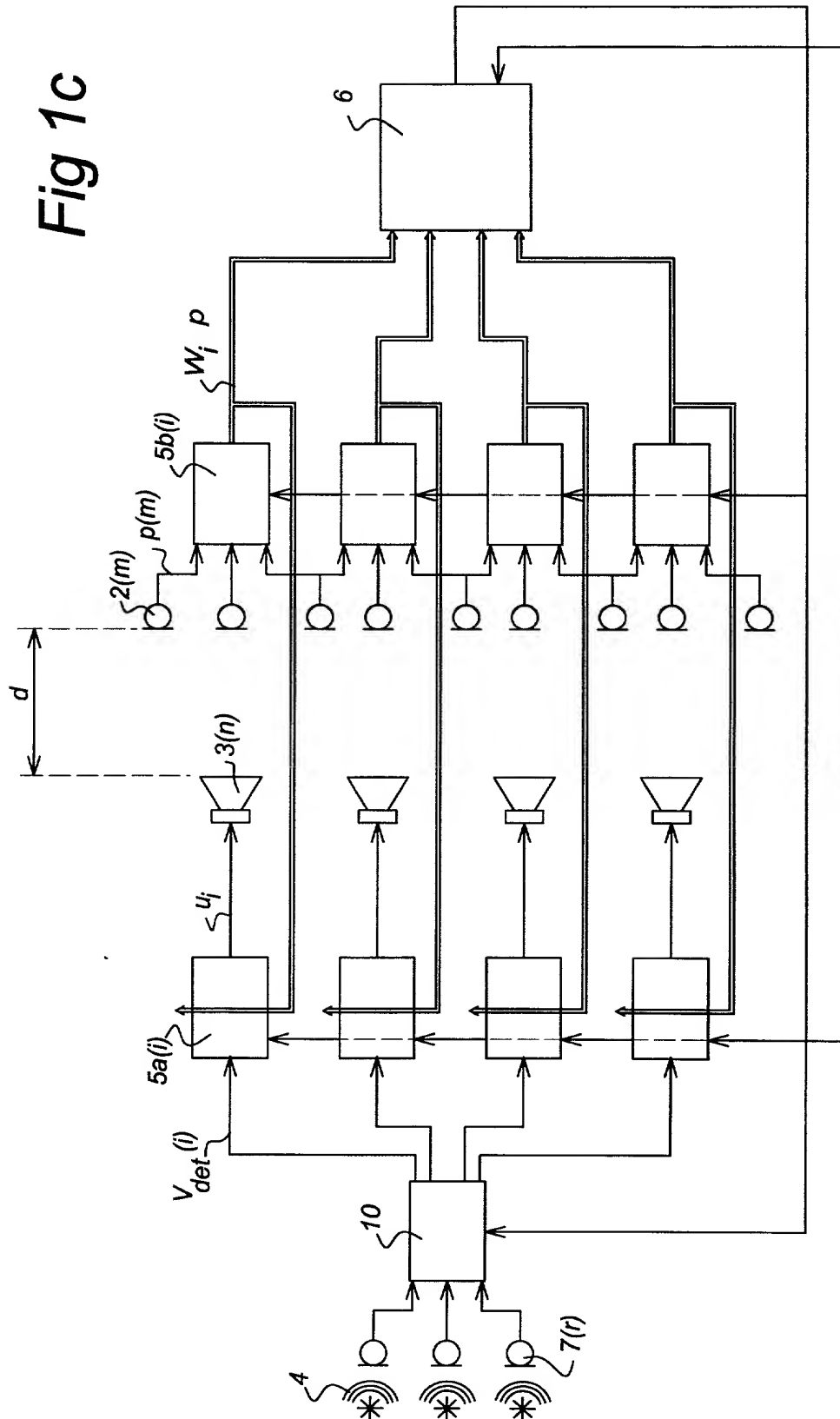


Fig 2

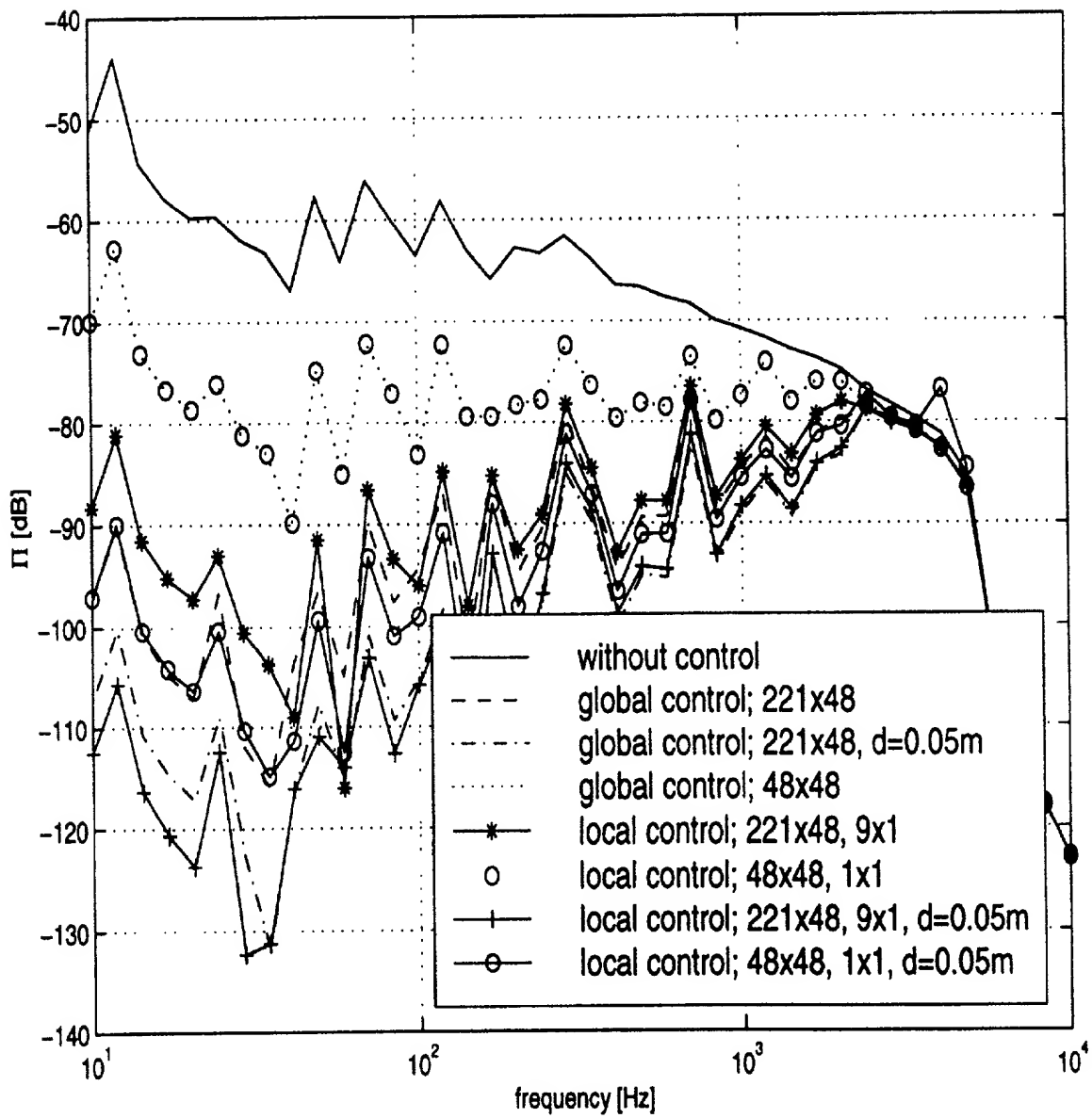
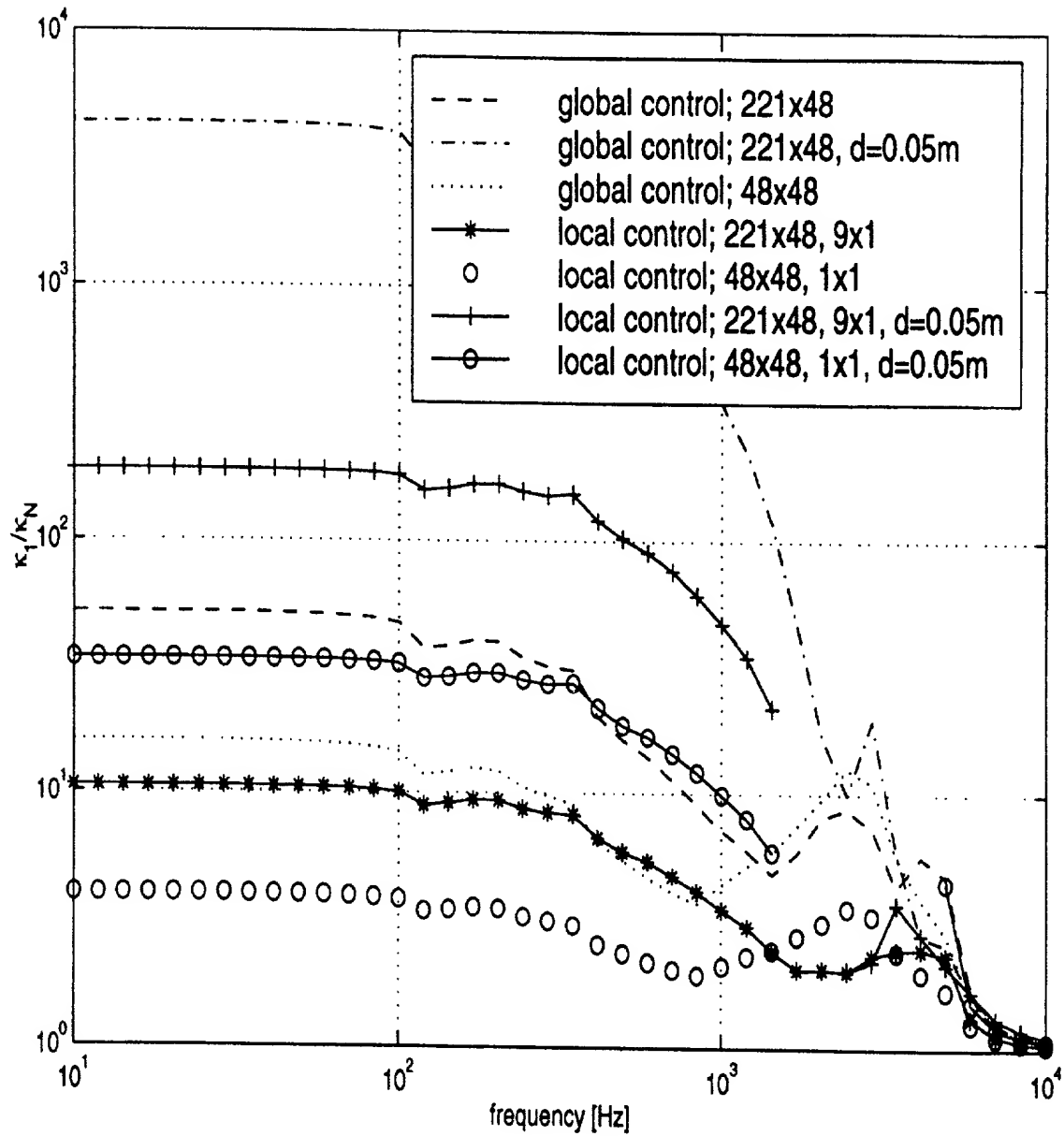
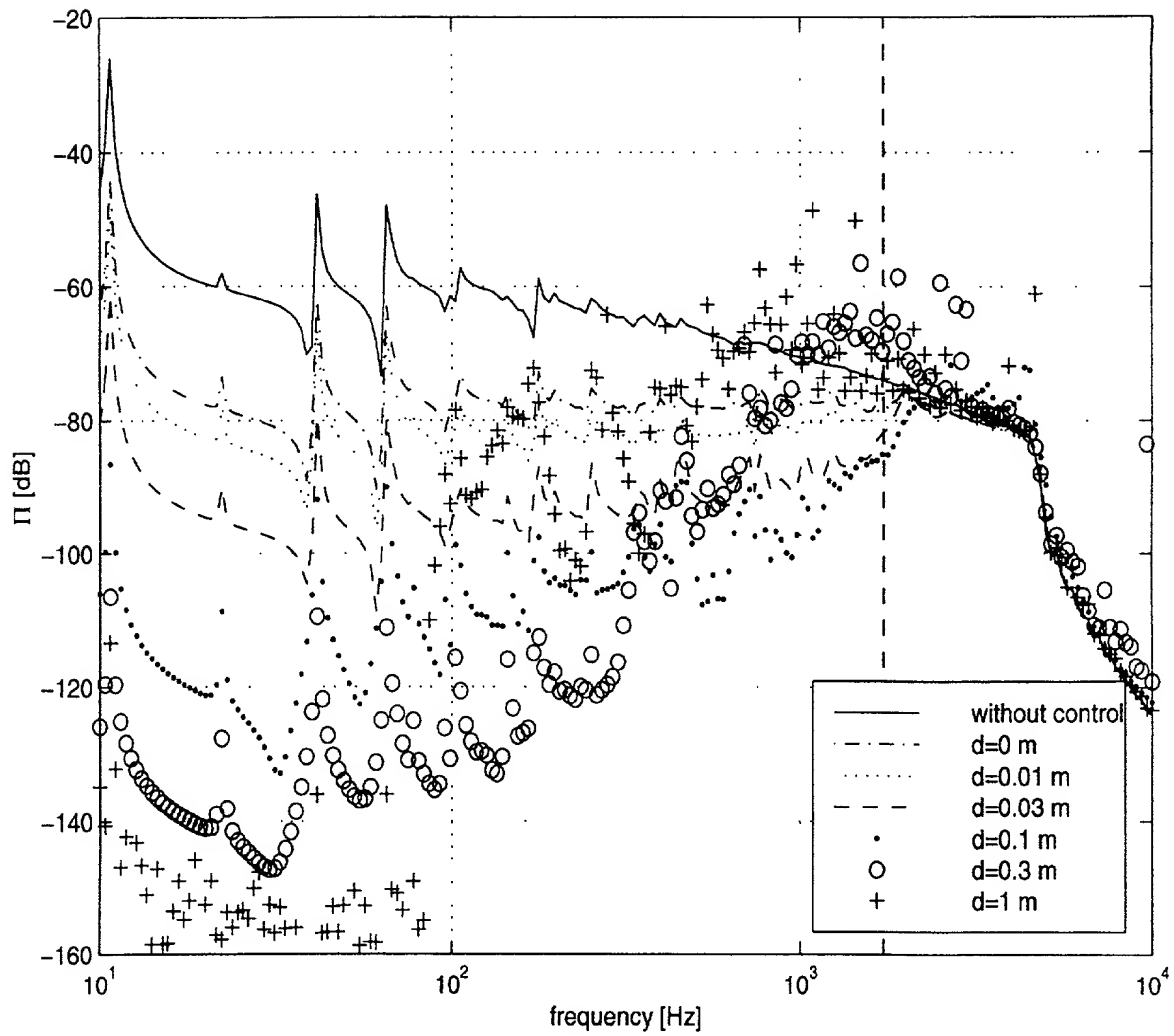


Fig 3

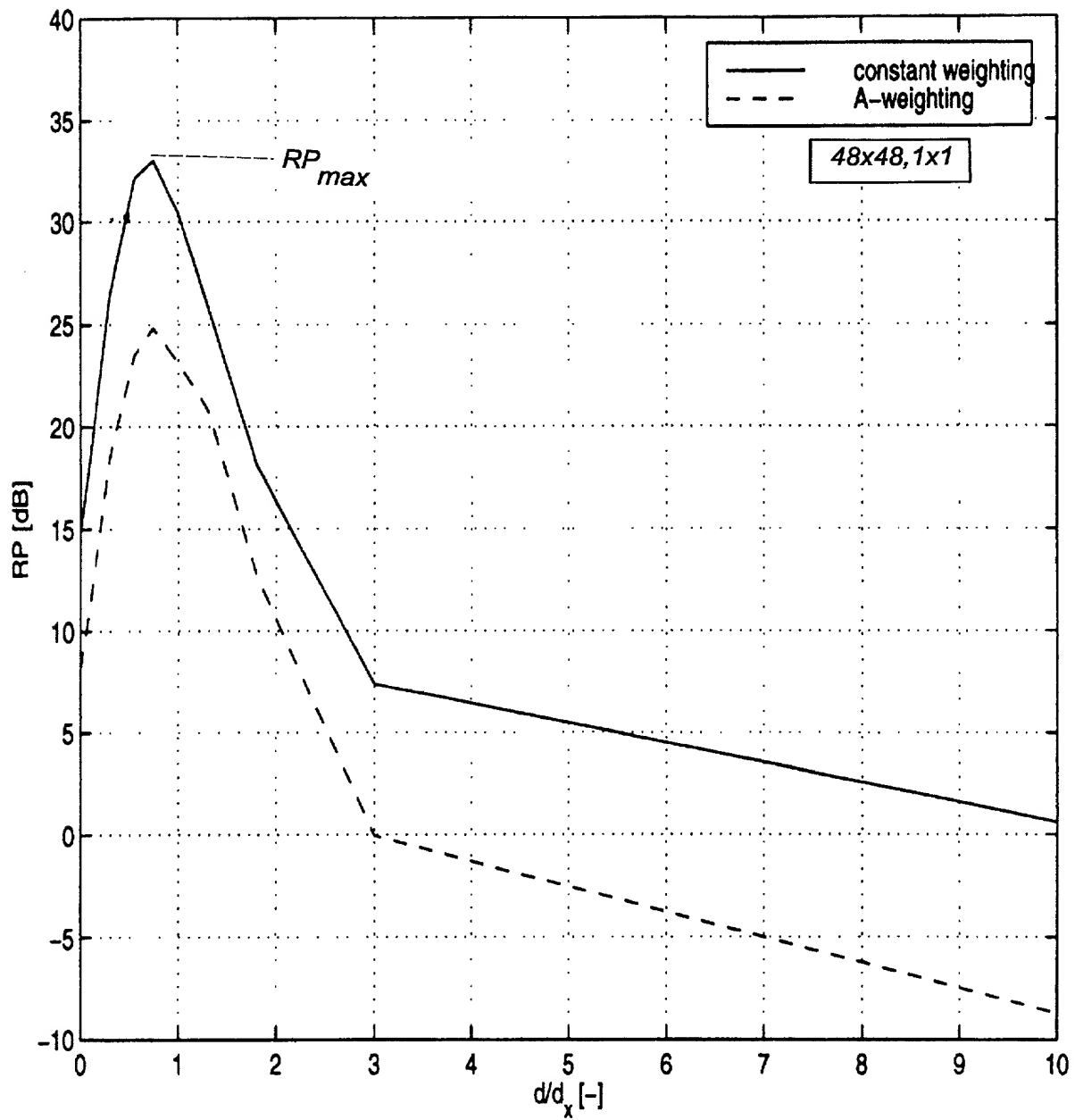
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Fig 4



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Fig 5



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Fig 6

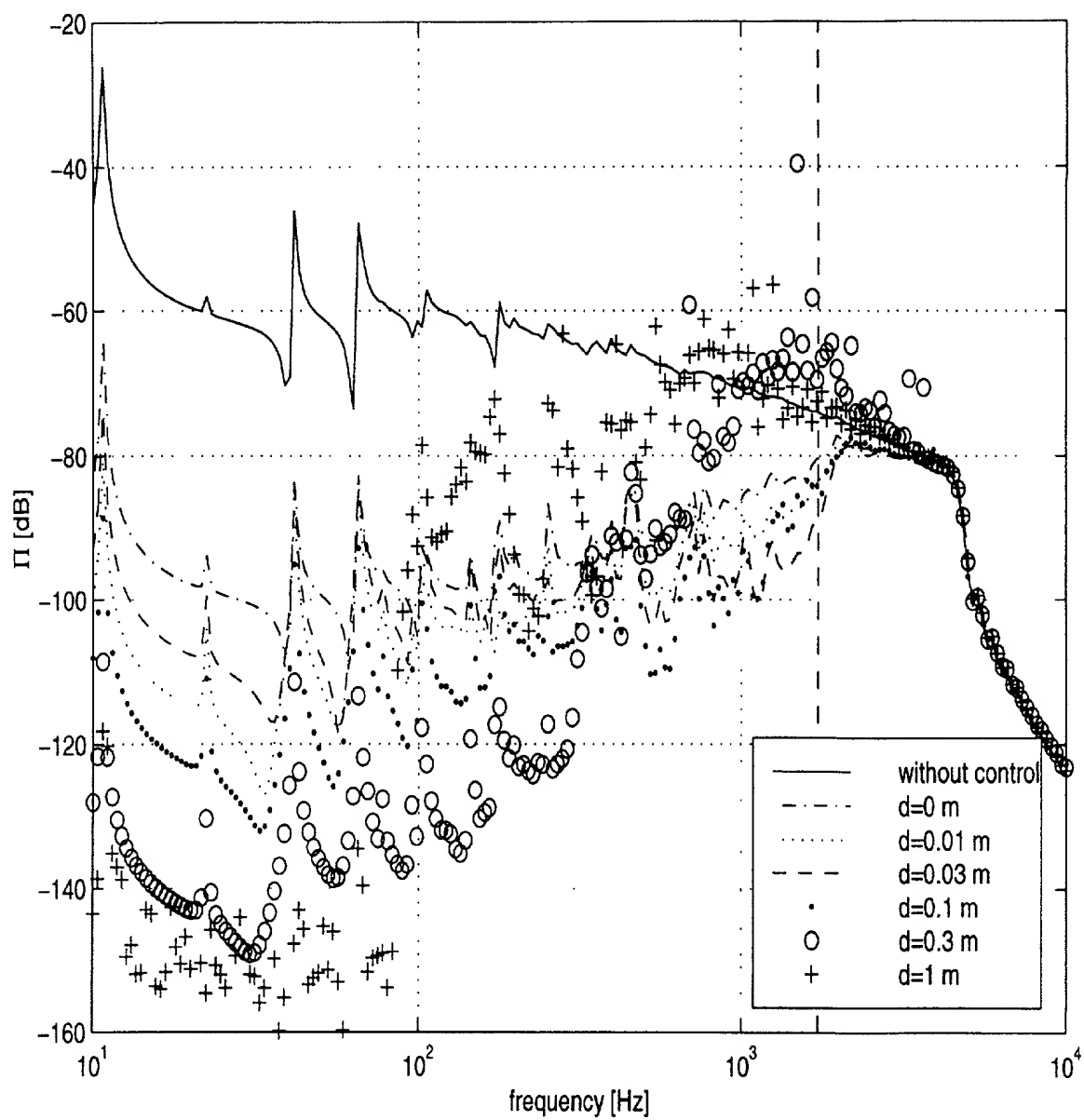
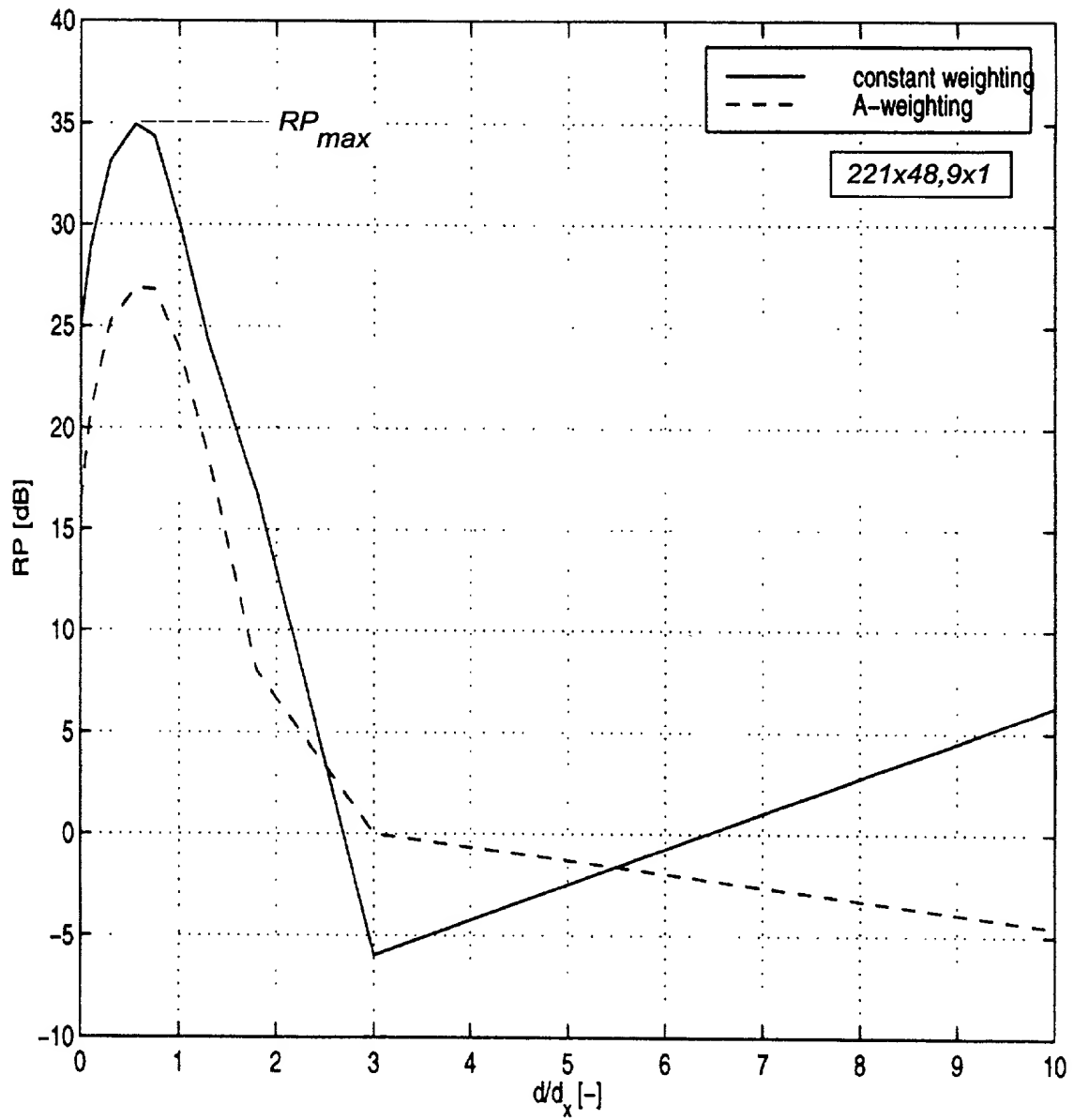
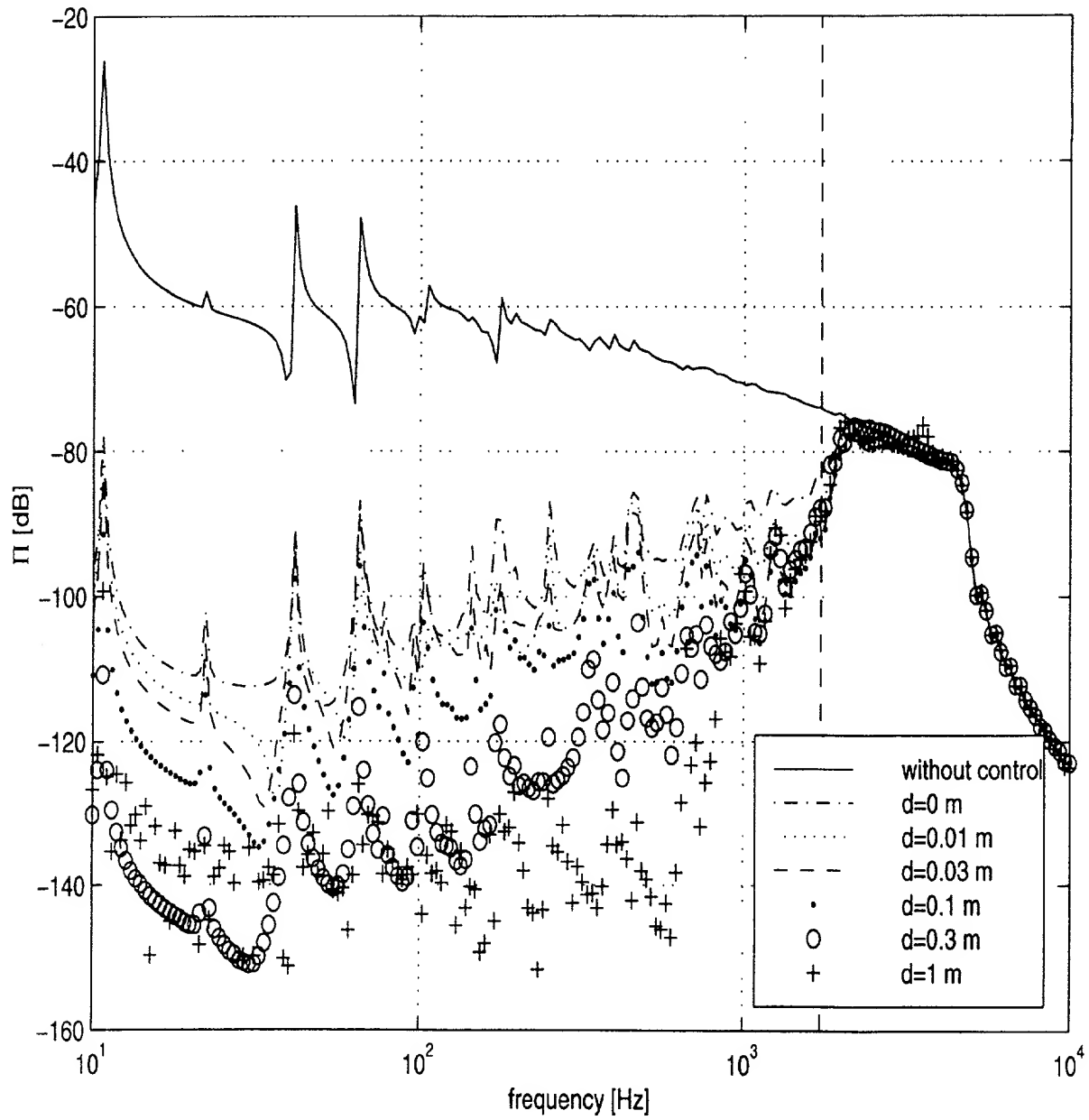


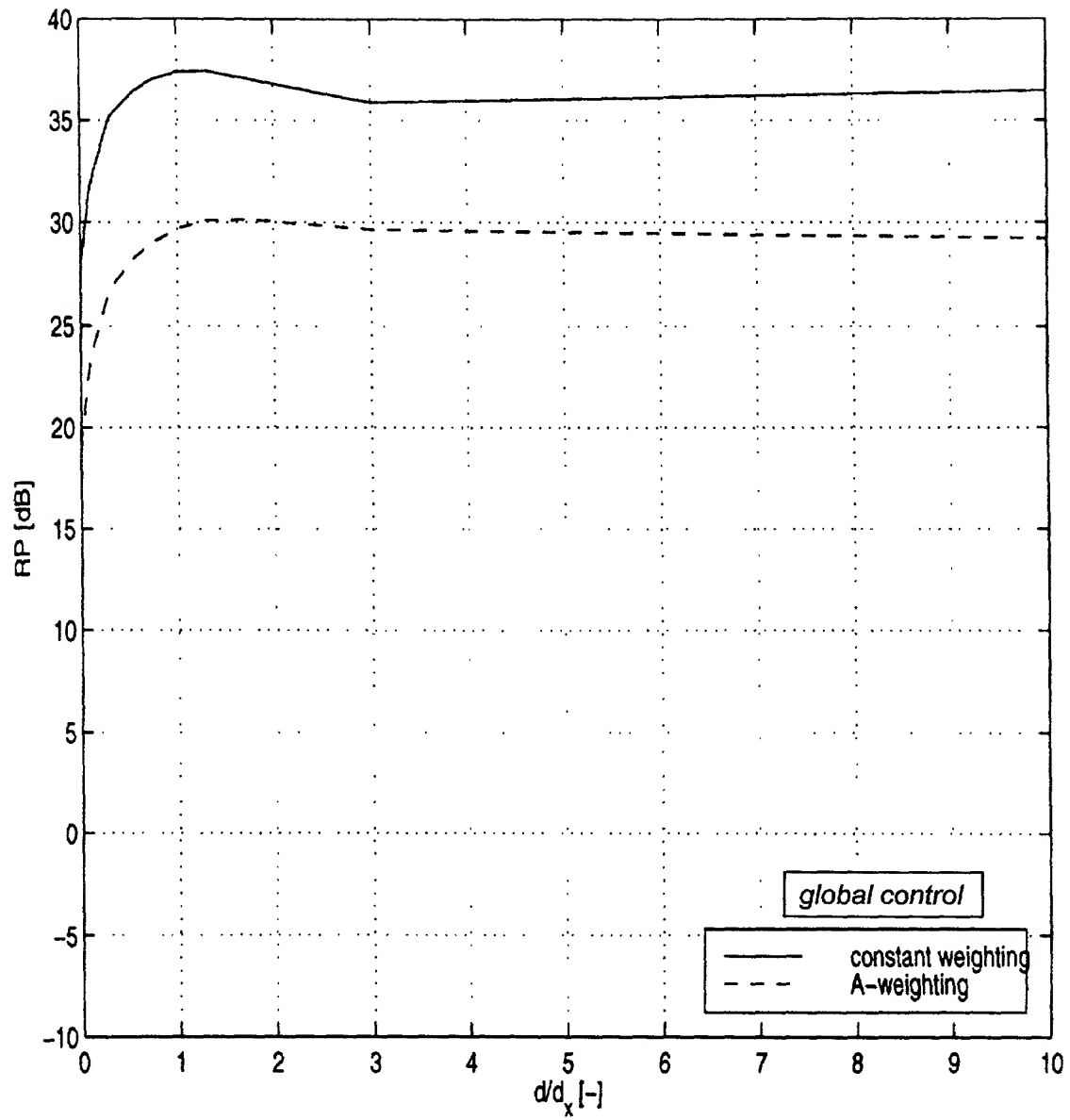
Fig 7



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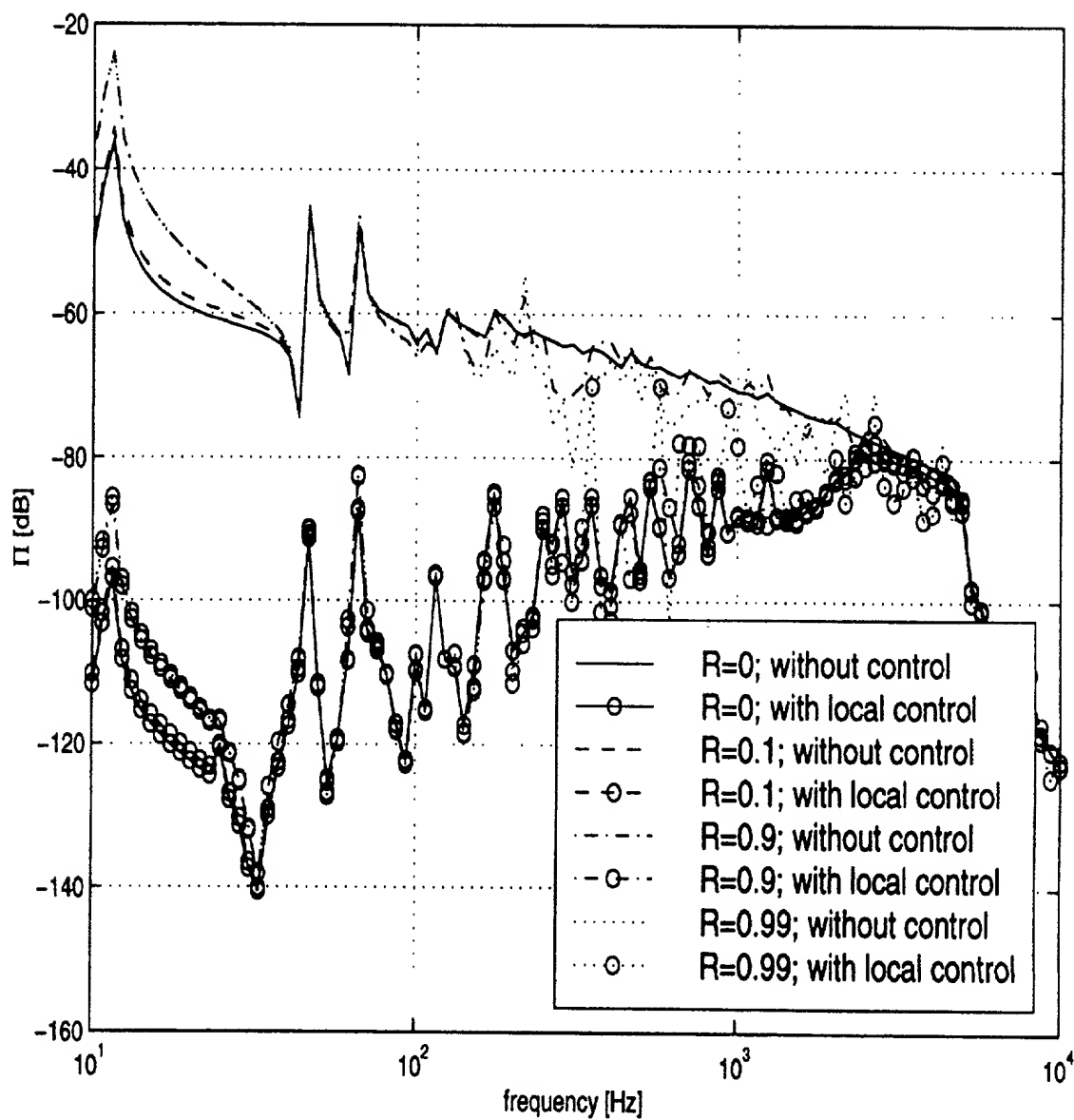
Fig 8

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Fig 9

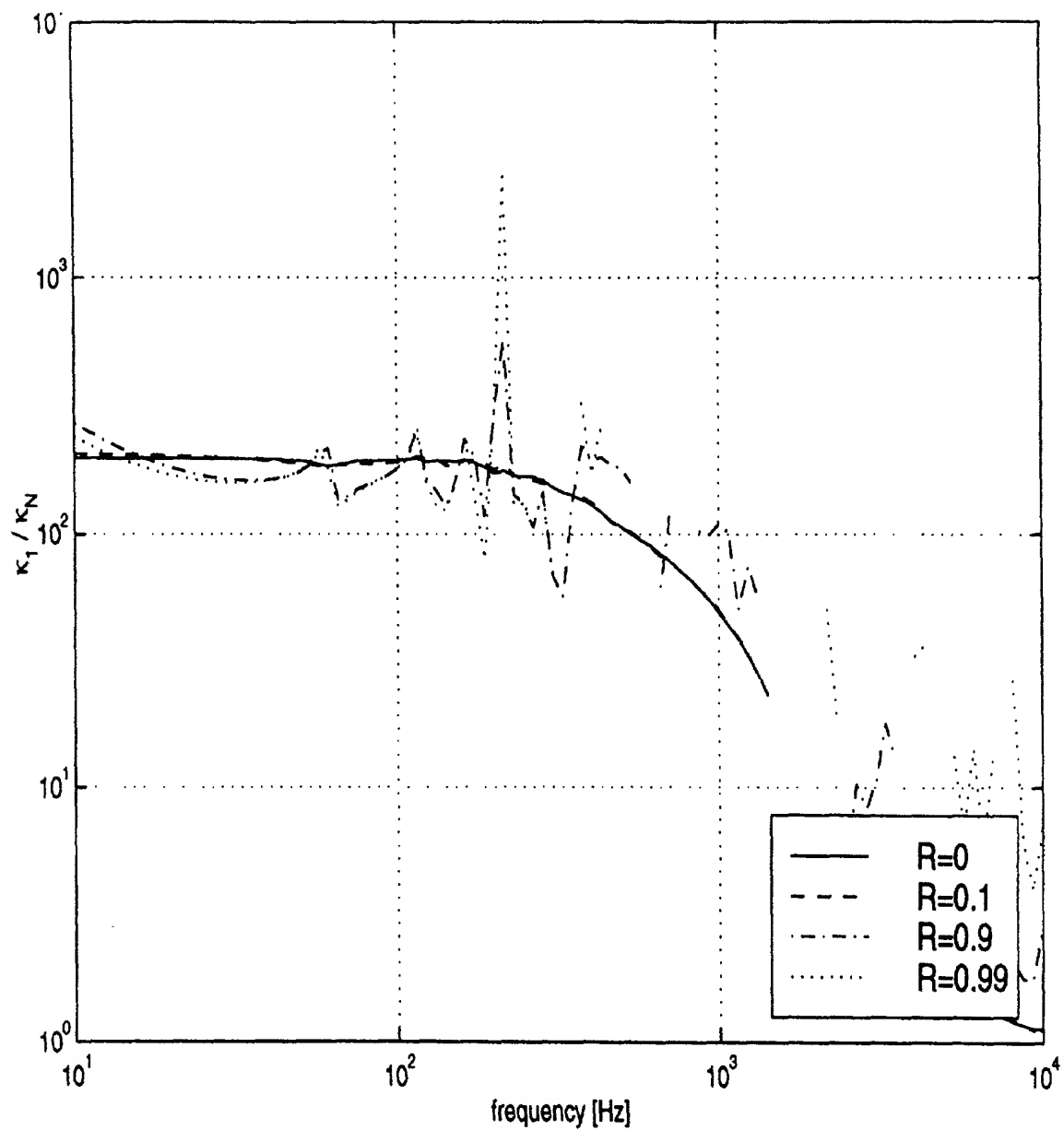
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Fig 10



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Fig 11



COMBINED DECLARATION AND POWER OF ATTORNEY

#3

(ORIGINAL DESIGN, NATIONAL STAGE OF PCT OR CIP APPLICATION)

As a below named inventor, I hereby declare that

My residence, post office address and citizenship are as stated below next to my name, I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Noise reduction panel arrangement and method of calibrating such a panel arrangement

the specification of which: (complete (a), (b) or (c) for type of application)

REGULAR OR DESIGN APPLICATION

- a. ☐ is attached hereto.
- b. ☐ was filed on _____ as Application
Serial No. _____ and was amended on _____
(if applicable)

PCT FILED APPLICATION ENTERING NATIONAL STAGE

- c. ☒ was described and claimed in International application No. PCT/NL99/00664
filed on 28 October 1999
and as amended on _____ (if any)

ACKNOWLEDGEMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, paragraph 1.56(a).

In compliance with this duty there is attached an information
disclosure statement 37 CFR 1.97

PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code paragraph 119 of any foreign application (s) for patent of inventor's certificate listed below and have also identified below any foreign application for patent of inventor's certificate having a filing date before that of the application on which priority is claimed.

(complete (d) or (e))

- d. ☐ no such applications have been filed
e. ☒ such applications have been filed as follows

**EARLIEST FOREIGN APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION**

Country	Application Number	Date of filing (day, month, year)	Date of Issue (day, month, year)	Priority claimed
✓ Europe	98203699.8	3 November 1998		Yes

**ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION**

CONTINUATION-IN-PART

(Complete this part only if this is a continuation-in-part application)

I hereby declare claim the benefit under Title 35, United States code, paragraph 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claim of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, paragraph 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, paragraph 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.) (Filing date) (Status) (patented, pending, abandoned)

(Application Serial No.) (Filing date) (Status) (patented, pending, abandoned)

POWER OF ATTORNEY

As a named inventor, I hereby appoint the following attorney(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Robert J. PATCH, Reg. No. 17,355, Andrew J. PATCH, Reg. No. 32,925, Robert F. HARGEST, Reg. No. 25,590, Benoît CASTEL, Reg. No. 35,041, Eric Jensen, Reg. No. 37,855, and Thomas W. PERKINS, Reg. No. 33,027 and Roland E. Long, Jr. Reg. No. 41,949 c/o YOUNG & THOMPSON, Second Floor, 745 South 23rd Street, Arlington, Virginia 22202.

Address all telephone calls to Young & Thompson at 703/521-2297.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such wilful false statements may jeopardize the validity of the application or any patent issued thereon.

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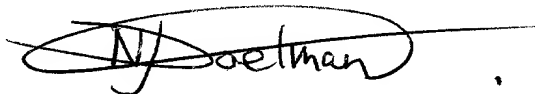
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